

Parity and Partition of the Rational Numbers

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**Irish Mathematical Society Meeting
Technical University of Dublin, 2 September 2022**



Outline

Overview

Three-Way Parity of the Rationals

Density of subsets of \mathbb{N}

2-Adic Valuation and Dyadic Rationals

The Calkin-Wilf & Stern-Brocot Trees

Conclusion



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Synopsis

The concept of parity is extended from the integers to the rational numbers. Three parity classes are found — **odd, even and 'none'**.

Using the 2-adic valuation, we partition the rationals into subgroups with a rich algebraic structure.

The **Calkin-Wilf tree** has a remarkably simple parity pattern, with the sequence **odd/none/even** repeating indefinitely.

A similar result holds for the **Stern-Brocot tree**.



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Partitioning the Rational Numbers

The natural numbers \mathbb{N} split nicely into two subsets:

$$\mathbb{N}_O = \{1, 3, 5, 7, \dots\}$$

$$\mathbb{N}_E = \{2, 4, 6, 8, \dots\}.$$

The odd and even numbers are **equinumerous**.

A similar split applies to the integers \mathbb{Z} :

$$\mathbb{Z}_O = \{\dots - 3, -1, +1, +3, +5, \dots\}$$

$$\mathbb{Z}_E = \{\dots - 4, -2, 0, +2, +4, \dots\}.$$

The integers form an abelian group $(\mathbb{Z}, +)$.

\mathbb{Z}_E is an **additive subgroup** of $(\mathbb{Z}, +)$.

It is of index 2, with cosets \mathbb{Z}_E and $\mathbb{Z}_E + 1$.



Parity

The distinction between **odd** and **even** is called **parity**.

Parity is defined for the integers (whole numbers).

Can we extend the concept of parity to the rationals?

The usual 'rules' of parity might be required:

1. Sum of even numbers is even; product is even.
2. Sum of odd numbers is even; product is odd.
3. Sum of even and odd is odd; product is even.
4. Odd number plus 1 is even; even plus 1 is odd.



Rules of Parity

Table: Addition (left) and multiplication (right) tables for \mathbb{Z} .

+	even	odd
even	<i>even</i>	<i>odd</i>
odd	<i>odd</i>	<i>even</i>

×	even	odd
even	<i>even</i>	<i>even</i>
odd	<i>even</i>	<i>odd</i>



Even and Uneven

For \mathbb{Q} , we could define a number $q = m/n$ to be even if the numerator m is even and odd if m is odd.

But then $\frac{1}{4} + \frac{1}{4} = \frac{1}{2}$, meaning that two odd rationals might add to yield another odd one.

We distinguish between ‘odd’ and ‘uneven’:

For $q = m/n$, $\begin{cases} q \text{ is even if } m \text{ is even,} \\ q \text{ is uneven if } m \text{ is odd.} \end{cases}$



Numerical Evidence

A MATHEMATICA program was written to count the number of even and uneven rationals in $(0, 1)$.

We can list all rationals in $(0, 1)$ in a sequence:

$$\frac{1}{2}, \frac{1}{3}, \frac{2}{3}, \frac{1}{4}, \frac{3}{4}, \frac{1}{5}, \frac{2}{5}, \frac{3}{5}, \frac{4}{5}, \frac{1}{6}, \frac{5}{6}, \frac{1}{7}, \frac{2}{7}, \frac{3}{7}, \frac{4}{7}, \frac{5}{7}, \frac{6}{7}, \frac{1}{8}, \frac{3}{8}, \frac{5}{8}, \frac{7}{8}, \dots$$

**As $n \rightarrow \infty$, the proportion of even numbers tends to $\frac{1}{3}$.
The proportion of uneven numbers tends to $\frac{2}{3}$.**

Colloquially, there are:

“twice as many uneven as even rationals”.



A Three-way Split

Is there a natural way of separating the uneven numbers into two subsets?



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In fact, there is:

For $q = \frac{m}{n}$, $\left\{ \begin{array}{l} q \text{ has even parity if } m \text{ is even,} \\ q \text{ has odd parity if } m \text{ is odd and } n \text{ is odd,} \\ q \text{ has none if } m \text{ is odd and } n \text{ is even.} \end{array} \right.$

The term **none** is an acronym:

none = 'neither odd nor even'



A Three-way Split

Let e be an even integer and o an odd one.

$$\text{Even: } \frac{e}{o} \quad \text{Odd: } \frac{o}{o} \quad \text{None: } \frac{o}{e} .$$

We define three subsets of the rational numbers:

$$\text{Even: } \mathbb{Q}_E = \left\{ q \in \mathbb{Q} : q = \frac{2m}{2n+1} \text{ for some } m, n \in \mathbb{Z} \right\}$$

$$\text{Odd: } \mathbb{Q}_O = \left\{ q \in \mathbb{Q} : q = \frac{2m+1}{2n+1} \text{ for some } m, n \in \mathbb{Z} \right\}$$

$$\text{None: } \mathbb{Q}_N = \left\{ q \in \mathbb{Q} : q = \frac{2m+1}{2n} \text{ for some } m, n \in \mathbb{Z} \right\} .$$

These three sets are disjoint: $\mathbb{Q} = \mathbb{Q}_E \uplus \mathbb{Q}_O \uplus \mathbb{Q}_N$.



Addition and multiplication tables for \mathbb{Q} .

+	even	odd	none
even	<i>even</i>	<i>odd</i>	<i>none</i>
odd	<i>odd</i>	<i>even</i>	<i>none</i>
none	<i>none</i>	<i>none</i>	<i>any</i>

×	even	odd	none
even	<i>even</i>	<i>even</i>	<i>any</i>
odd	<i>even</i>	<i>odd</i>	<i>none</i>
none	<i>any</i>	<i>none</i>	<i>none</i>

Note that the first two rows and columns are identical to the tables for the integers.



Addition and multiplication tables for \mathbb{Q} .

+	even	odd	none
even	<i>even</i>	<i>odd</i>	<i>none</i>
odd	<i>odd</i>	<i>even</i>	<i>none</i>
none	<i>none</i>	<i>none</i>	<i>any</i>

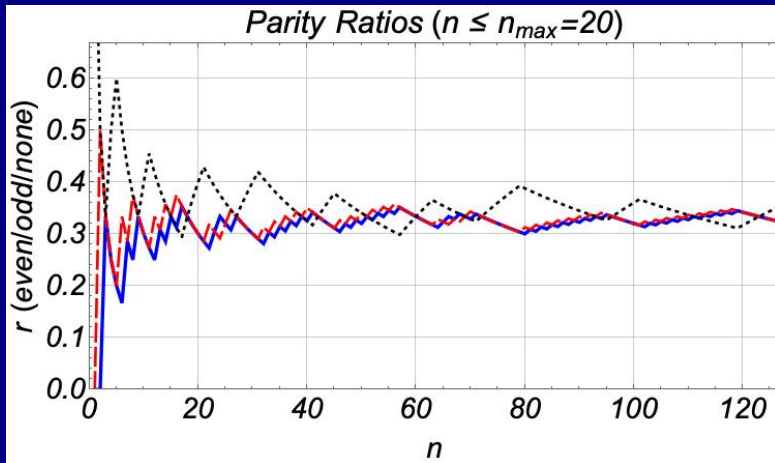
×	even	odd	none
even	<i>even</i>	<i>even</i>	<i>any</i>
odd	<i>even</i>	<i>odd</i>	<i>none</i>
none	<i>any</i>	<i>none</i>	<i>none</i>

Note that the first two rows and columns are identical to the tables for the integers.

We may enquire about the relative sizes of the sets.



Numerical Evidence



Parity ratio r for denominator ≤ 20 .
Blue: Even. Red: Odd. Black: None.



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Density of Subsets of \mathbb{N}

The set of even positive numbers is “the same size” as the set of all natural numbers:

Both are infinite countable sets.

However, cardinality is a blunt instrument:
Shouldn't even numbers comprise 50% of \mathbb{N} ?

Our intuition tells us that if B is a proper subset of A , it must be smaller than A .

The concept of *density* provides a measure of the relative sizes of sets that is **more discriminating than cardinality.**



Natural or Asymptotic Density

Assume a subset A of \mathbb{N} is enumerated as $\{a_1, a_2, \dots\}$.

We define the density of A in \mathbb{N} as the limit, if it exists,

$$\rho_{\mathbb{N}}(A) = \lim_{n \rightarrow \infty} \frac{|\{a_k : a_k \leq n\}|}{n}.$$

If the fraction of elements of A among the first n natural numbers converges to a limit $\rho_{\mathbb{N}}(A)$ as $n \rightarrow \infty$, then A has density $\rho_{\mathbb{N}}(A)$.

For $A = \mathbb{N}_E$ or $A = \mathbb{N}_O$, we have $\rho(A) = \frac{1}{2}$, consistent with our intuitive notion.



Density Depends on Order

We can rearrange the natural numbers into a set F such that there are **twice as many even as odd numbers in F** .

We reorder \mathbb{N} so that each odd number is followed by two even ones:

$$F = \{1, 2, 4, 3, 6, 8, 5, 10, 12, \dots, 2n-1, 4n-2, 4n, \dots\}.$$

It is easy to see that $\rho_F(\mathbb{N}_E) = \frac{2}{3}$ and $\rho_F(\mathbb{N}_O) = \frac{1}{3}$.

Proceeding further, we can construct a set H in which **the n -th odd number is followed by n even numbers**.

We find that $\rho_H(\mathbb{N}_E) = 1$, so that “almost all the elements of H are even”.



Partitioning the Rationals

Restricting attention to the even and odd rationals only — omitting those with no parity — we define

$$\mathbb{Q}_P := \mathbb{Q}_E \uplus \mathbb{Q}_O .$$

This is the set of all rationals whose denominators are odd numbers in \mathbb{Z} .

\mathbb{Q}_P is closed under addition and multiplication and forms a commutative subring of \mathbb{Q} .

Moreover, since there are no divisors of zero, \mathbb{Q}_P is an **integral domain**.



Partitioning the Rationals

\mathbb{Q}_p is a (normal) subgroup of \mathbb{Q} .

We may enquire about its index $[\mathbb{Q} : \mathbb{Q}_p]$
and its quotient group \mathbb{Q}/\mathbb{Q}_p .

★ ★ ★



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Somewhat out of context, we mention that
all three parity classes, \mathbb{Q}_E , \mathbb{Q}_O and \mathbb{Q}_N ,
are (topologically) dense in the rationals.



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2-Adic Valuation

“All multiples of 2 are even, but some are more even than others.”

The p -adic valuation of an integer n is

$$\nu_p(n) = \begin{cases} \max\{k \in \mathbb{N} : p^k \mid n\} & \text{for } n \neq 0 \\ \infty & \text{for } n = 0 \end{cases}$$

This is extended to the rational numbers m/n :

$$\nu_p\left(\frac{m}{n}\right) = \nu_p(m) - \nu_p(n).$$

We shall be concerned exclusively with the case $p = 2$. We note that

$$\mathbb{Q}_P = \{q \in \mathbb{Q} : \nu_2(q) \geq 0\} \quad \text{and} \quad \mathbb{Q}_E = \{q \in \mathbb{Q} : \nu_2(q) > 0\}$$



Partitioning \mathbb{Q}

For q rational with parity *even*, $\nu_2(q) > 0$,

For q rational with parity *odd*, $\nu_2(q) = 0$,

For q rational with parity *none*, $\nu_2(q) < 0$.

For all $k \in \mathbb{Z}$, we define

$$Q_k = \{q \in \mathbb{Q} : \nu_2(q) = k\} \quad \text{and} \quad Q_\infty = \{0\}.$$

The resulting partition yields a rich algebraic structure. The union of all the Q -sets comprises the rationals:

$$\mathbb{Q} = \{0\} \uplus \bigoplus_{k=-\infty}^{\infty} Q_k.$$



Dyadic Rational Numbers

A dyadic rational is a fraction whose denominator is a power of two. We define

$$D_k = \{2^k(2\ell - 1) : \ell \in \mathbb{Z}\} \quad \text{and} \quad D_\infty = \{0\},$$

and note that $\mathbb{D} = \biguplus_k D_k \uplus D_\infty$.

The dyadic rational numbers form a ring between the ring of integers and the field of rational numbers:

$$\mathbb{Z} \trianglelefteq \mathbb{D} \trianglelefteq \mathbb{Q}.$$

We construct a countable infinity of subgroups of \mathbb{D} :

$$\mathbb{D}_K := \{0\} \uplus \biguplus_{k \geq K} D_k.$$

Particular cases include

$$\mathbb{D}_{-\infty} = \mathbb{D}, \quad \mathbb{D}_{-1} = \frac{1}{2}\mathbb{Z}, \quad \mathbb{D}_0 = \mathbb{Z}, \quad \mathbb{D}_1 = \mathbb{Z}_E, \quad \mathbb{D}_\infty = \{0\}$$



Partitioning the Rationals

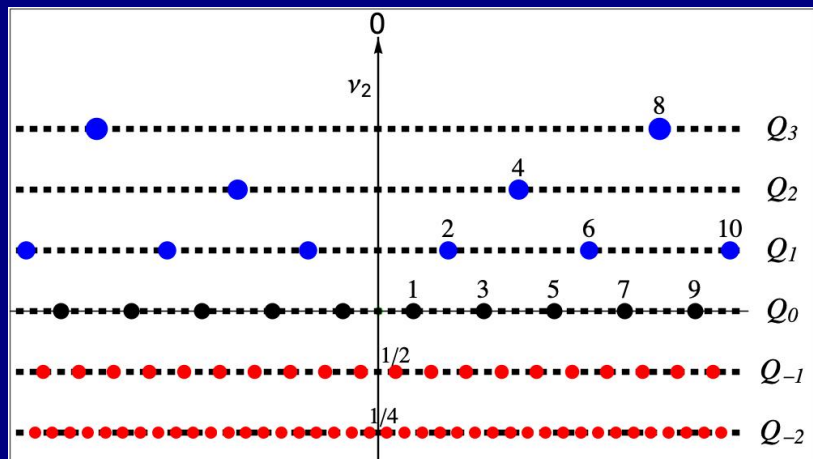


Figure: Partition of the rational numbers. The vertical axis is the 2-adic valuation v_2 . D_k indicated by marked points. Totality of these comprises dyadic rationals \mathbb{D} .



Partitioning the Rationals

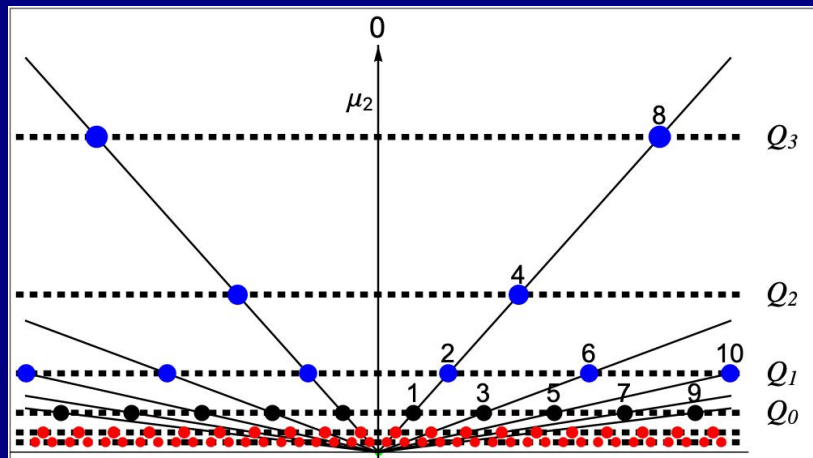


Figure: Partition of the rational numbers. The vertical axis is $\mu = 2^{\nu_2}$. D_k indicated by marked points. Totality of these comprises dyadic rationals \mathbb{D} .



Cosets of \mathbb{Q}_P in \mathbb{Q} .

For each $k > 0$, we define a set of values

$$a_k^\ell = 2^{-k}(2\ell - 1) \in \mathbb{Q}_{-k} \quad \text{for } \ell = 1, 2, 3, \dots, 2^{k-1}.$$

These are the first 2^{k-1} positive values in D_{-k} .

We prove that these are representatives of 2^{k-1} cosets, which are all distinct and which provide a disjoint partition of \mathbb{Q}_{-k} .

The cosets of \mathbb{Q}_P in \mathbb{Q} are

$$a_k^\ell + \mathbb{Q}_P, \quad \ell = 1, 2, 3, \dots, 2^{k-1}, \quad k = 1, 2, \dots$$



Density of Q_k : Heuristic Discussion

The set $Q_{-1} = \frac{1}{2} + Q_P$ is a coset of Q_P . It can be visualized as a copy of Q_P shifted by a distance $\frac{1}{2}$.

We argue heuristically that Q_{-1} is “as dense as Q_P ”.

More generally, for any k ,

$$\frac{1}{2^k} \left(\frac{2m+1}{2n+1} \right) \in Q_k \longleftrightarrow \frac{1}{2^{k-1}} \left(\frac{2m+1}{2n+1} \right) \in Q_{k-1}.$$

Q_{k-1} is a **compressed version of Q_k** .

Since Q_{k-1} is “twice as dense as Q_k ”, we should have twice as many cosets in Q_{k-1} as in Q_k .

This has been proved rigorously.



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The Calkin-Wilf Tree

The Calkin-Wilf tree is an **enumeration** of \mathbb{Q} .

The Calkin-Wilf tree is complete:

- ▶ It includes all the rationals;
- ▶ Each positive rational occurs just once.

Everything springs from the root $1/1$.

Each rational has two “children”:
for the entry m/n , the children are

$$m/(m+n) \text{ and } (m+n)/n.$$



The Calkin-Wilf Tree

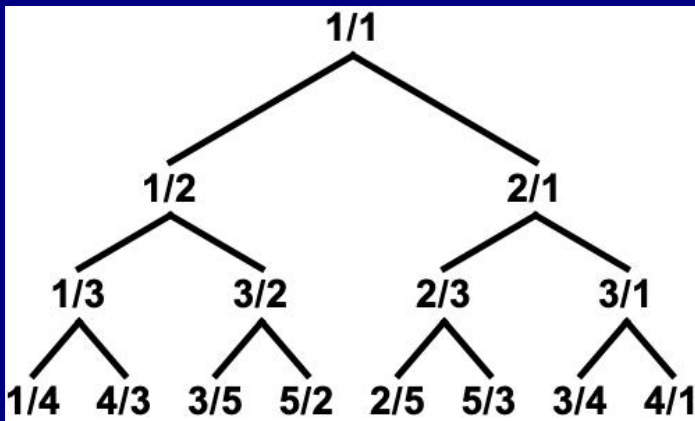
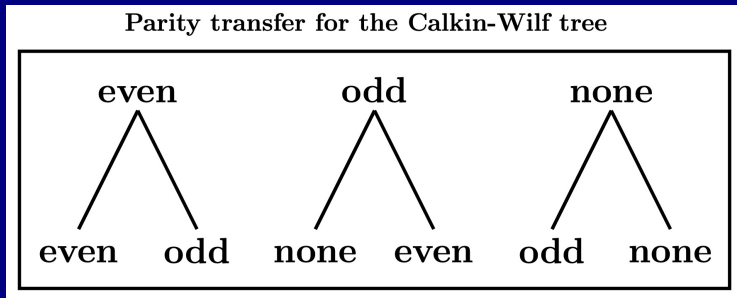


Figure: The initial rows of the Calkin-Wilf tree.

Mnemonic: $\frac{\text{Top}}{\text{Sum}}$ and $\frac{\text{Sum}}{\text{Bottom}}$.



Calkin-Wilf Parity Transfer



If each of the parity classes, **even**, **odd** and **none**, occurs with equal frequency at one generation, then this equality is passed on to the next generation:

$$\rho_Q(Q_E) = \rho_Q(Q_O) = \rho_Q(Q_N) = \frac{1}{3}.$$



Stern-Brocot Tree

The Stern-Brocot tree is another ordering of \mathbb{Q} , very similar to the Calkin-Wilf tree.

The numbers at each level are formed from the **mediants** of adjacent pairs of numbers above.

The mediant of two rationals m_1/n_1 and m_2/n_2 is

$$M\left(\frac{m_1}{n_1}, \frac{m_2}{n_2}\right) := \frac{m_1 + m_2}{n_1 + n_2}$$



Stern-Brocot Tree

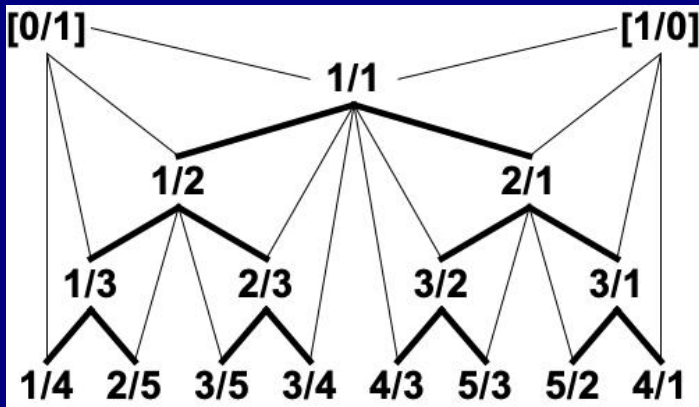


Figure: The initial rows of the Stern-Brocot tree.



Density for the Stern-Brocot Tree

The parity of the mediants of two numbers of different parity is the third parity:

$$M(e, o) = n, \quad M(o, n) = e, \quad M(n, e) = o.$$

From this, we can show for the Stern-Brocot tree:

$$\rho_{\mathbb{Q}}(\mathbb{Q}_E) = \rho_{\mathbb{Q}}(\mathbb{Q}_O) = \rho_{\mathbb{Q}}(\mathbb{Q}_N) = \frac{1}{3}.$$

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The determination of the densities of parity classes for the ordering corresponding to the **Farey sequences** is left as a challenge!



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Acknowledgments

We are grateful for helpful comments from:

Prof Tom Laffey (UCD)

Prof Tony O'Farrell (NUIM)



Summary

- ▶ We have extended parity from \mathbb{Z} to \mathbb{Q} .
- ▶ Three parity classes were found.
- ▶ The even and odd rationals, \mathbb{Q}_E and \mathbb{Q}_O , follow the usual rules of parity.
- ▶ The union of these, $\mathbb{Q}_P = \mathbb{Q}_E \uplus \mathbb{Q}_O$, forms an additive subgroup of \mathbb{Q} .
- ▶ Using the 2-adic valuation, we partitioned \mathbb{Q} into subsets and found a chain of subgroups.
- ▶ Using the natural density, we showed that the three parity classes are equally dense in the rationals for both the CW-Tree and the SB-Tree.

