

# Equicharacteristic Galois representations of local function fields

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## Preliminaries

Let  $k$  be an algebraically closed field of characteristic  $p > 0$ .  
(Example:  $\overline{\mathbb{F}_p}$ .)

Let  $K = k((t))$  (the field of Laurent series over  $k$ ).

Let  $\mathcal{G}_K = \text{Gal}(K^{sep}/K)$ .

We are concerned with  $\mathcal{G}_K$ -representations over the fields  $\mathbb{F}_{p^r}$  ( $r \geq 1$ ).

## Examples of representations

- (1) Suppose  $p \neq 2$ . Let  $L = K[X]/(X^2 - t)$ . Then  $\text{Gal}(L/K) \cong \mathbb{Z}/2\mathbb{Z}$ . Let  $V$  be a one-dimensional  $\mathbb{F}_p$ -vector space on which  $\text{Gal}(L/K)$  acts by negation.

$V$  is a basic example of a “tame” representation of  $\mathcal{G}_K$ . (A representation  $\rho: G \rightarrow \text{Aut}(W)$  is tame if  $p \nmid |\text{im}\rho|$ .)

- (2) Let  $n$  and  $r$  be positive integers such that  $n \mid (p^r - 1)$ . Choose an  $n$ th root  $t^{1/n} \in K^{sep}$ . The set

$$T_{n,r} := \{ct^{1/n} \mid c \in \mathbb{F}_{p^r}\} \subseteq K^{sep}$$

forms a one-dimensional representation of  $\mathcal{G}_K$ .

(Note:  $V \cong T_{2,1}$ .)

## Examples of representations (cont.)

(3) Choose a positive integer  $N$  which is coprime to  $p$ . Let  $R = K[X]/(X^p - X - t^{-N})$ .

$R$  is a field.  $[R : K] = p$ .

Let  $\gamma: R \rightarrow R$  be the map which takes  $X$  to  $X + 1$ . Note that  $(X + 1)^p - (X + 1) - t^{-N} = X^p + 1 - X - 1 - t^{-N} = X^p - X - t^{-N}$ , therefore  $\gamma$  is a field automorphism.  $\gamma$  generates an automorphism group of size  $p$ .

$\text{Gal}(R/K) \cong \mathbb{Z}/p\mathbb{Z}$ .

Let  $W_N = (\mathbb{F}_p)^2$ , with an action by  $\text{Gal}(R/K)$  given by

$$\begin{aligned}\gamma(\mathbf{e}_1) &= \mathbf{e}_1, \\ \gamma(\mathbf{e}_2) &= \mathbf{e}_2 + \mathbf{e}_1.\end{aligned}$$

$W_N$  is a “wild” representation of  $\mathcal{G}_K$ .

## The minimal root index

The minimal root index (“ $\mathfrak{C}$ ”) measures the “ramification” of an  $\mathbb{F}_{p^r}[\mathcal{G}_K]$ -module.

**The definition of the minimal root index:**

Suppose that  $W$  is an  $\mathbb{F}_{p^r}[\mathcal{G}_K]$ -module, (with  $r \geq 1$ ). Let

$$\mathcal{W} = (W \otimes_{\mathbb{F}_{p^r}} K^{sep})^{\mathcal{G}_K}$$

Let  $F^r$  denote the Frobenius endomorphism,

$$\begin{aligned} F^r : W \otimes K^{sep} &\rightarrow W \otimes K^{sep}, \\ w \otimes s &\mapsto w \otimes s^{(p^r)}. \end{aligned}$$

Let  $\mathcal{W}_0 \subseteq \mathcal{W}$  denote the largest  $k[[t]]$ -submodule such that the following two conditions are satisfied:

- $F^r(\mathcal{W}_0) \subseteq \mathcal{W}_0$ , and
- The intersection of the submodules  $\mathcal{W}_i := k[[t]](F^{ri}(\mathcal{W}_0)) \subseteq \mathcal{W}$  is zero. ( $i = 1, 2, 3, \dots$ )

Then,

$$\mathfrak{C}(W) := \frac{\dim_k \mathcal{W}_0 / \mathcal{W}_1}{p^r - 1}.$$

Some properties:

- (1)  $\mathfrak{C}$  is additive over direct sums.
- (2)  $\mathfrak{C}$  is invariant under base change. ( $- \mapsto - \otimes \mathbb{F}_{p^{rs}}$ .)
- (3) For any  $m, n, r$ , with  $m < n$  and  $n \mid (p^r - 1)$ ,

$$\mathfrak{C}(T_{n,r}^{\otimes m}) = 1 - \frac{m}{n}.$$

(Note: Properties (1)-(3) are sufficient to determine  $\mathfrak{C}$  for any tame representation.)

- (4)  $\mathfrak{C}$  is superadditive over exact sequences.

## The minimal root index (cont.)

(5) The minimal root index of the wild representation  $W_N$  (from Example (3)) is

$$\mathfrak{e}(W_N) = 1 + \lceil N/p^r \rceil.$$

## Motivation

**Theorem:**

Let  $X \rightarrow \text{Spec } k$  be a smooth projective curve of genus  $g$ . Let  $U \subseteq X$  be an open subcurve. Let  $\mathcal{H}$  be a constructible étale sheaf of  $\mathbb{F}_p$ -modules on  $X$  which is locally constant on  $U$  and zero outside of  $U$ . Then,

$$\sum_{i=0}^1 (-1)^i h^i(X, \mathcal{H}) \geq (1 - g) \text{rank}(\mathcal{H}) - \sum_{x \in |X \setminus U|} \mathfrak{e}(\mathcal{H}_{(x)}),$$

where  $\mathcal{H}_{(x)}$  denotes the pullback of  $\mathcal{H}$  via any morphism  $\text{Spec } k((t)) \rightarrow X$  determined by a local parameter at  $x$ .

**Ref:** *Equicharacteristic étale cohomology in dimension one*, C. Miller.  
<http://arxiv.org/abs/0906.4093>

## A Conjecture

Let  $L/K$  be a finite Galois extension. Let  $U$  be the regular representation of  $\text{Gal}(L/K)$ . Let  $D_{L/K}$  denote the different of the field extension  $L/K$ . Then I conjecture that

$$\mathfrak{e}(U) = \frac{D_{L/K}}{2} + 1.$$

Is this true?