

# The Emergence of Numerical Weather Prediction: from Richardson to the ENIAC

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**School of Mathematical Sciences**  
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**BIRS Summer School, Banff, 10–15 July, 2011**







Prehistory

1890–1920

ENIAC

Recreation

PHONIAIC

# Outline

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Recreating the ENIAC Forecast

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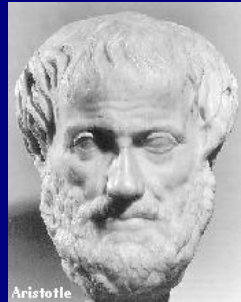


# Aristotle's *Meteorologia*

Aristotle wrote the first book on Meteorology, the *Μετεωρολογία* (*μετεωρον*: **Something in the air**).

This work studied the causes of various weather phenomena.

Aristotle was a masterly speculator: for example, he believed that the **lightning followed the thunder!**



Aristotle (384-322 BC)



# Money makes the world go round

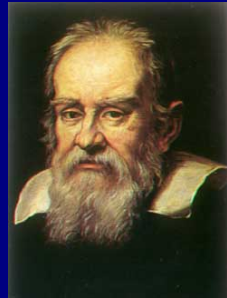


# Galileo Galilei (1564–1642)

Galileo formulated the basic **law of falling bodies**, which he verified by careful measurements.

He constructed a **telescope**, with which he studied lunar craters, and discovered four moons revolving around Jupiter.

Galileo is credited with the invention of the **Thermometer**.





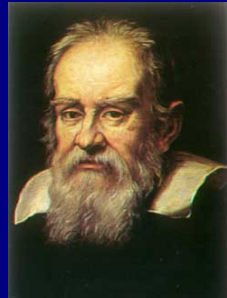
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Thus began quantitative meteorology.



# Galileo's Thermometer



The **Galileo Thermometer** is a popular modern *collectable* and an attractive decoration.

As temperature rises, the fluid expands and its density decreases.

The reduced buoyancy causes the glass baubles to sink, indicating temperature changes.



# Galileo's Ace Post-Doc.

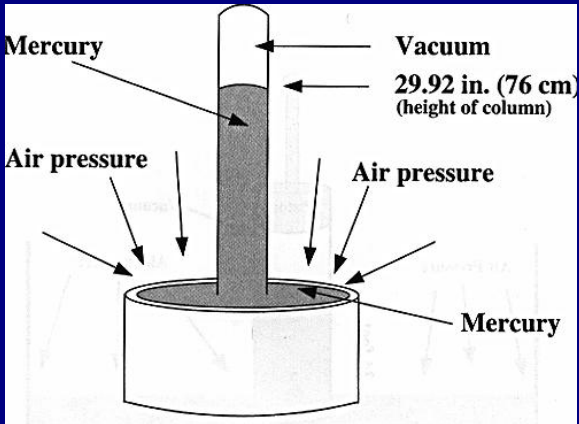
Evangelista Torricelli (1608–1647), a student of Galileo, devised the first accurate **barometer**.



Torricelli inventing the barometer



# Barometric Pressure



The relationship between the **height of the mercury column** and the character of the **weather** was soon noticed.



# Newton's Law of Motion



The rate of change of momentum of a body is equal to the sum of the forces acting on the body:

$$\text{Force} = \text{Mass} \times \text{Acceleration}$$



# Edmund Halley (1656–1742)



**Edmund Halley was a contemporary and friend of Isaac Newton; this was quite an achievement: Newton didn't have too many friends! Halley was largely responsible for persuading Newton to publish his *Principia Mathematica*.**



# Halley and his Comet



Halley's analysis of a comet was an excellent example of the **scientific method** in action.



**Observation:** The comets of 1456, 1531, 1607, and 1682 followed similar orbital paths around the Sun. Each appearance was separated from the previous one by about 76 years.





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**Further Confirmation:** Appearances of the comet have since been found in the historic record as far back as 2000 years.



# A Tricky Question

If the **Astronomers** can make accurate 76-year forecasts ...



# A Tricky Question

If the **Astronomers** can make accurate 76-year forecasts ...  
... why can't the **Meteorologists** do the same?



▶ Size of the Problem

Cometary motion is a relatively simple problem,  
with few degrees of freedom;

**Dynamics** is enough.

The atmosphere is a continuum with infinitely  
many variables;

**Thermodynamics** is essential.



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Cometary motion is a relatively simple problem, with few degrees of freedom;

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▶ Order versus Chaos

The equations of the solar system are quasi-integrable and the **motion is regular**.

The equations of the atmosphere are essentially **nonlinear** and the **motion is chaotic**.





# Leonhard Euler (1707–1783)

- ▶ Born in Basel in 1707.
- ▶ Died 1783 in St Petersburg.
- ▶ Formulated the equations for incompressible, inviscid fluid flow:

$$\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + \frac{1}{\rho} \nabla p = \mathbf{g}.$$

$$\nabla \cdot \mathbf{V} = 0$$



# Jean Le Rond d'Alembert



The Euler equations are **partial differential equations**.  
D'Alembert introduced partial derivatives in studying  
the flow of wind in two dimensions.



# George G Stokes, 1819–1903



George Gabriel Stokes, **founder of modern hydrodynamics.**



# ASIDE: Stokes' Theorem

$$\oint_{\Gamma} \mathbf{V} \cdot d\mathbf{l} = \iint_{\Sigma} \nabla \times \mathbf{V} \cdot \mathbf{n} \, da \quad \left[ \begin{array}{l} \text{Good for} \\ \text{T-shirts} \end{array} \right]$$

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This leads on to **Bjerknes' Circulation Theorem**:

$$\frac{dC}{dt} = - \iint_{\Sigma} \nabla \frac{1}{\rho} \times \nabla p \cdot d\mathbf{a} = - \oint_{\Gamma} \frac{dp}{\rho},$$

which generalized Kelvin's Circulation Theorem to baroclinic fluids ( $\rho$  varying independently of  $p$ ), and ushered in the study of **Geophysical Fluid Dynamics**.



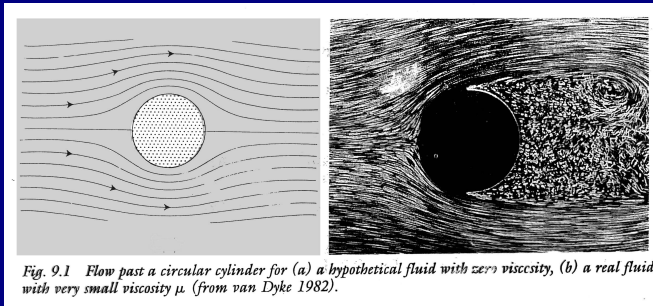
# Resolution of d'Alembert's Paradox

**D'A, 1752: A body moving at constant speed through a gas or a fluid does not experience any resistance.**



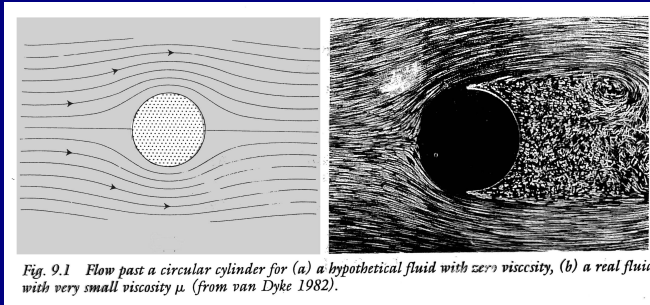
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# Resolution of d'Alembert's Paradox

**D'A, 1752: A body moving at constant speed through a gas or a fluid does not experience any resistance.**



The minutest amount of viscosity has a profound qualitative impact on the character of the solution.  
**The Navier-Stokes equations include effect of viscosity.**





# The Navier-Stokes Equations

## Euler's Equations:

$$\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + \frac{1}{\rho} \nabla p = \mathbf{g}^* .$$



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## The Navier-Stokes Equations

$$\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + \frac{1}{\rho} \nabla p = \nu \nabla^2 \mathbf{V} + \mathbf{g}^*.$$



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$$\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + \frac{1}{\rho} \nabla p = \nu \nabla^2 \mathbf{V} + \mathbf{g}^* .$$

## Motion on the rotating Earth:

$$\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \nabla \mathbf{V} + 2\boldsymbol{\Omega} \times \mathbf{V} + \frac{1}{\rho} \nabla p = \nu \nabla^2 \mathbf{V} + \mathbf{g} .$$



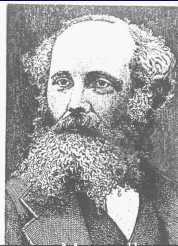
# The Inventors of Thermodynamics



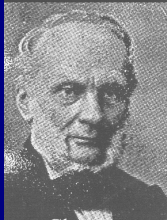
Joule Joule



Boltzmann



Maxwell



Clausius



Kelvin



Gibbs

It would appear from this sample that a fulsome beard may serve as a thermometer of proficiency in thermodynamics. More exhaustive research is required before a definitive conclusion can be reached.



# The Equations of the Atmosphere

## GAS LAW (Boyle's Law and Charles' Law.)

Relates the pressure, temperature and density

## CONTINUITY EQUATION

Conservation of mass

## WATER CONTINUITY EQUATION

Conservation of water (liquid, solid and gas)

## EQUATIONS OF MOTION: Navier-Stokes Equations

Describe how the change of velocity is determined by the pressure gradient, Coriolis force and friction

## THERMODYNAMIC EQUATION

Determines changes of temperature due to heating or cooling, compression or rarefaction, etc.

**Seven equations; seven variables** ( $u, v, w, \rho, p, T, q$ ).



# The Primitive Equations

$$\frac{du}{dt} - \left( f + \frac{u \tan \phi}{a} \right) v + \frac{1}{\rho} \frac{\partial p}{\partial x} + F_x = 0$$

$$\frac{dv}{dt} + \left( f + \frac{u \tan \phi}{a} \right) u + \frac{1}{\rho} \frac{\partial p}{\partial y} + F_y = 0$$

$$\rho = R \rho T$$

$$\frac{\partial p}{\partial z} + g \rho = 0$$

$$\frac{dT}{dt} + (\gamma - 1) T \nabla \cdot \mathbf{V} = \frac{Q}{c_p}$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \rho \mathbf{V} = 0$$

$$\frac{\partial \rho_w}{\partial t} + \nabla \cdot \rho_w \mathbf{V} = [\text{Sources} - \text{Sinks}]$$



# Scientific Forecasting in a Nut-Shell

- ▶ The atmosphere is a **physical system**
  - ▶ Its behaviour is governed by the **laws of physics**
  - ▶ These laws are expressed quantitatively in the form of **mathematical equations**
  - ▶ Using **observations**, we can specify the atmospheric state at a given initial time:  
“Today’s Weather”
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- ▶ The equations are very complicated (non-linear) and a **powerful computer** is required to do the calculations
  - ▶ The accuracy decreases as the range increases; there is an inherent **limit of predictability.**





# Outline

Prehistory

**1890–1920**

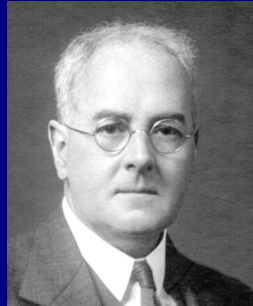
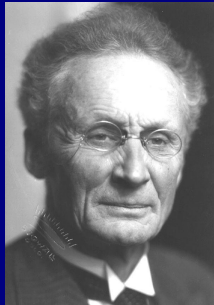
ENIAC

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PHONIAC



# Pioneers of Scientific Forecasting



**Cleveland Abbe, Vilhelm Bjerknes, Lewis Fry Richardson**



# Cleveland Abbe



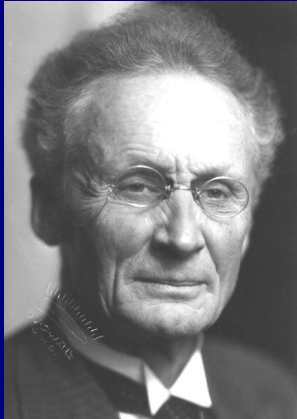
By 1890, the American meteorologist Cleveland Abbe had recognized that:

*Meteorology is essentially the application of hydrodynamics and thermodynamics to the atmosphere.*

Abbe proposed a mathematical approach to forecasting.



# Vilhelm Bjerknes



**A more explicit analysis of weather prediction was undertaken by the Norwegian scientist Vilhelm Bjerknes**

**He identified the two crucial components of a scientific forecasting system:**

- ▶ Analysis**
- ▶ Integration**



# Vilhelm Bjerknes (1862–1951)



# Bjerknes' 1904 Manifesto

**Objective:**

**To establish a science of meteorology**

**Purpose:**

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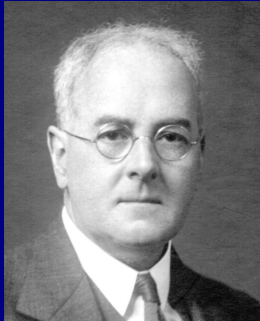
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**Step (1) is Diagnostic. Step (2) is Prognostic.**





# Lewis Fry Richardson



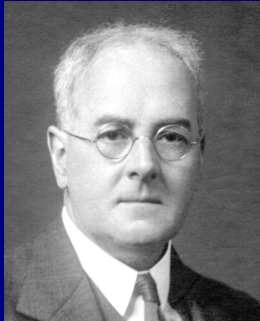
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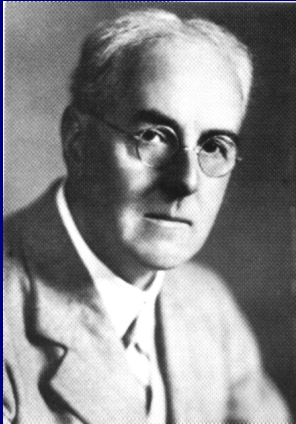
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... his dream has indeed come true.



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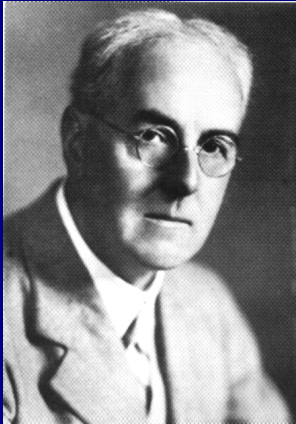


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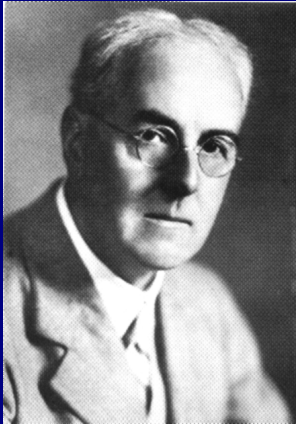
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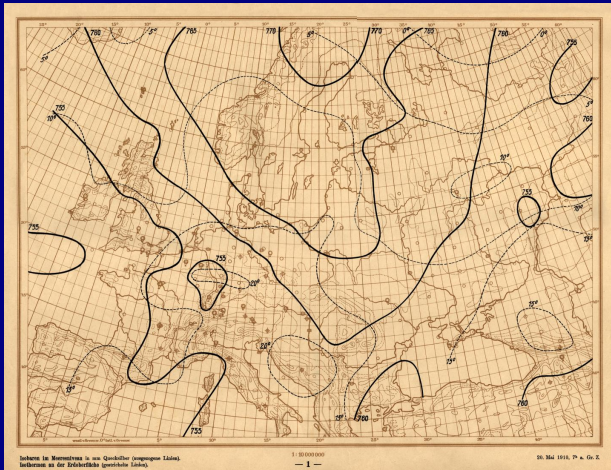
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But Richardson's **method** was scientifically sound.

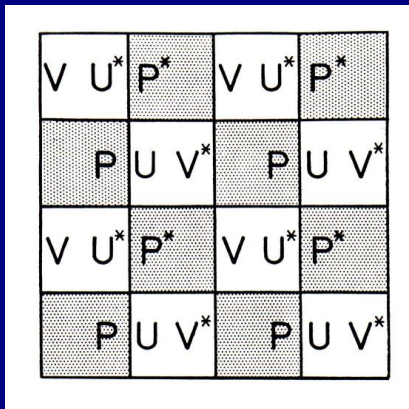


# The Leipzig Charts for 0700 UTC, May 20, 1910



**Bjerknes' sea level pressure analysis.**

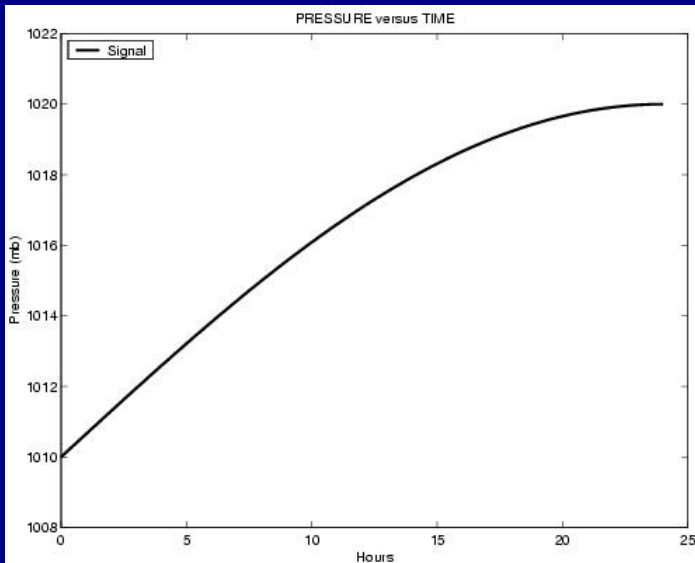




**Richardson Grid (also called an Arakawa E-grid)**

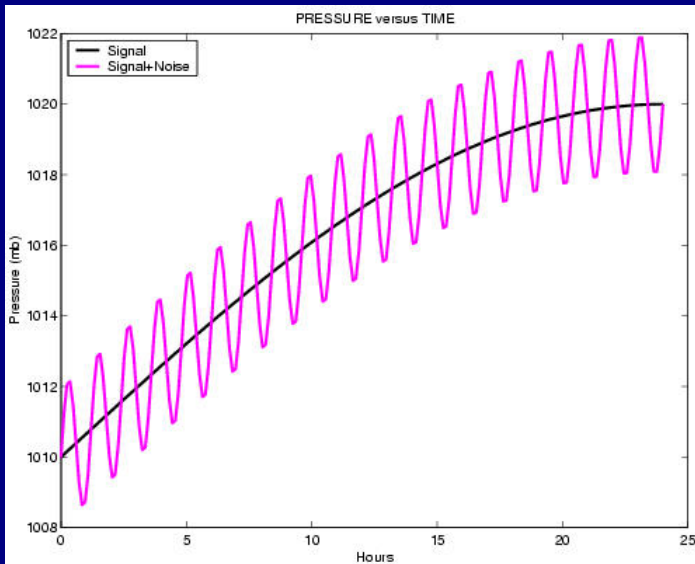


# A Smooth Signal

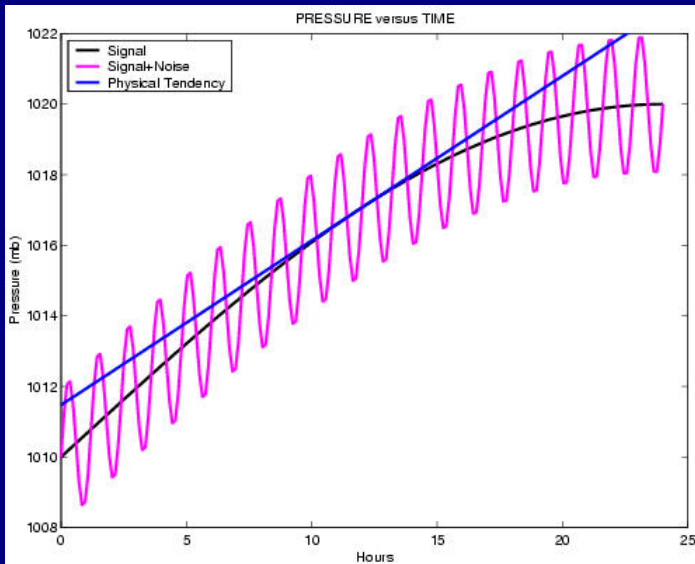




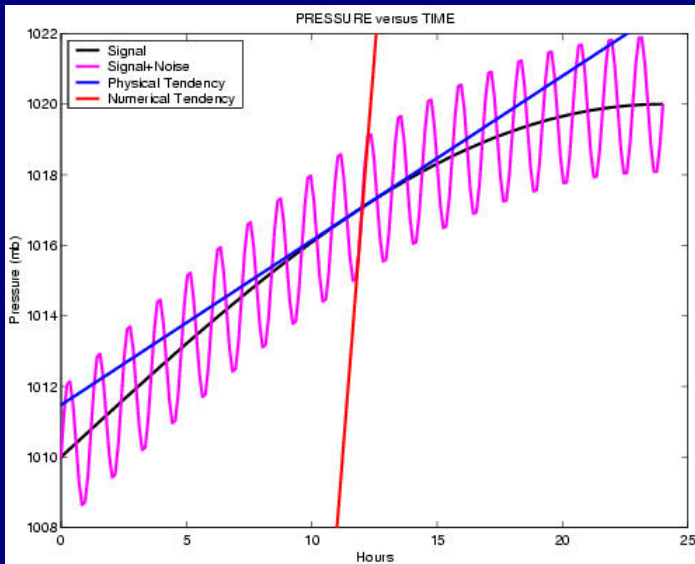
# A Noisy Signal

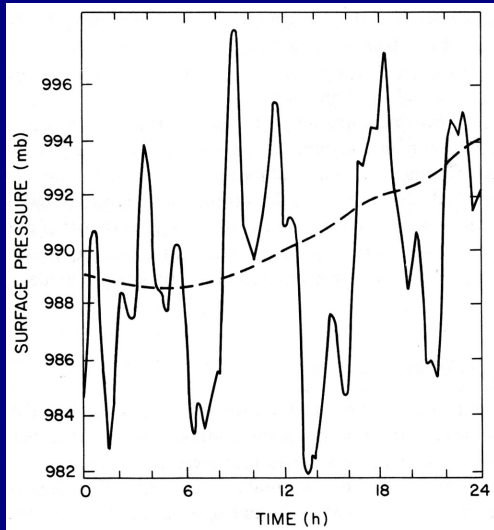


# Tendency of a Smooth Signal



# Tendency of a Noisy Signal





Evolution of surface pressure **before and after NNMI.**  
(Williamson and Temperton, 1981)



# Initialization of Richardson's Forecast

Richardson's Forecast was repeated on a computer.

The atmospheric observations for 20 May, 1910, *were recovered from original sources.*



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- ▶ **ORIGINAL:**  $\frac{dp_s}{dt} = +145 \text{ hPa}/6 \text{ h}$
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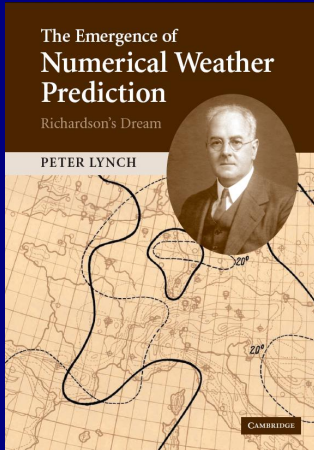
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Observations:

**The barometer was steady!**

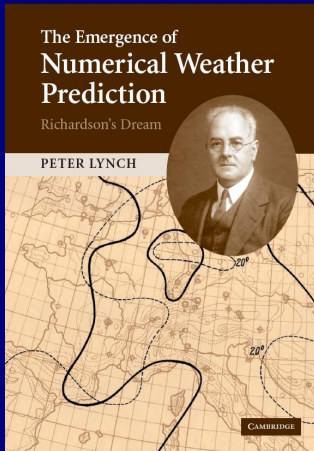




**Richardson's Forecast  
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Emergence of NWP**  
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Competition for a copy  
of the book ???



# Richardson's Forecast Factory



© François Schuiten



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© François Schuiten

**64,000 Computers: the first Massively Parallel Processor**



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# Crucial Advances, 1920–1950

- ▶ **Dynamic Meteorology**
  - ▶ Quasi-geostrophic Theory
- ▶ **Numerical Analysis**
  - ▶ CFL Criterion
- ▶ **Atmpospheric Observations**
  - ▶ Radiosonde
- ▶ **Electronic Computing**
  - ▶ ENIAC



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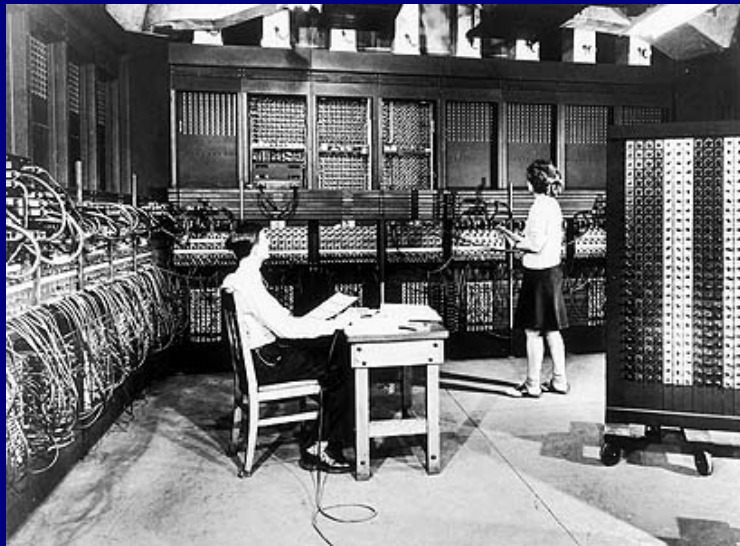
A **Proposal for Funding** listed three “possibilities”:

- ▶ **New methods of weather prediction**
- ▶ **Rational basis for planning observations**
- ▶ **Step towards influencing the weather!**

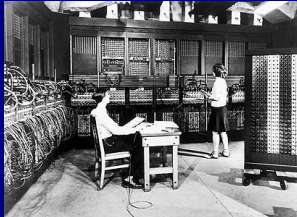




# The ENIAC



# The ENIAC



The **ENIAC** was the first multi-purpose programmable electronic digital computer.

It had:

- ▶ 18,000 vacuum tubes
- ▶ 70,000 resistors
- ▶ 10,000 capacitors
- ▶ 6,000 switches
- ▶ Power: 140 kWatts



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Access to Punch-card equipment:

**You can imagine!**



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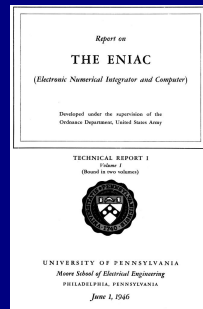
"Peripheral Memory": **Punch-cards**.

Speed (FP multiply): 2ms (~ **500 Flops**).

Access to Function Tables: **1ms**.

Access to Punch-card equipment:

**You can imagine!**



# Evolution of the Project



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*Problems similar to Richardson's would arise*



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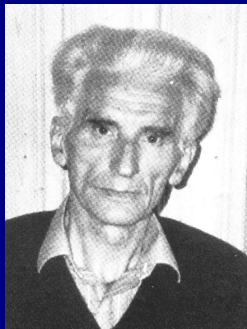
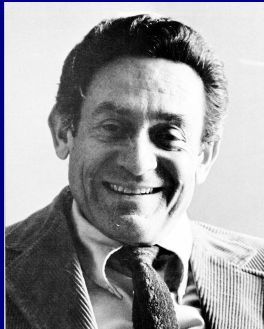
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- ▶ **Plan B: Integrate baroclinic Q-G System**  
*Too computationally demanding for ENIAC*
- ▶ **Plan C: Solve barotropic vorticity equation**  
*Very satisfactory initial results (CFvN)*



Charney

Fjørtoft

von Neumann



**Numerical integration of the barotropic vorticity equation**  
*Tellus*, 2, 237–254 (1950).



# Charney, et al., *Tellus*, 1950.

$$\left[ \begin{array}{c} \text{Absolute} \\ \text{Vorticity} \end{array} \right] = \left[ \begin{array}{c} \text{Relative} \\ \text{Vorticity} \end{array} \right] + \left[ \begin{array}{c} \text{Planetary} \\ \text{Vorticity} \end{array} \right] \quad \eta = \zeta + f.$$





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This equation looks simple. But it is **nonlinear**:

$$\frac{\partial}{\partial t}[\nabla^2\psi] + \left\{ \frac{\partial\psi}{\partial x} \frac{\partial\nabla^2\psi}{\partial y} - \frac{\partial\psi}{\partial y} \frac{\partial\nabla^2\psi}{\partial x} \right\} + \beta \frac{\partial\psi}{\partial x} = 0,$$



$$\frac{d}{dt}(\zeta + f) = \frac{\partial \zeta}{\partial t} + \mathbf{V} \cdot \nabla(\zeta + f) = 0$$

$$\mathbf{V} = (g/f)\mathbf{k} \times \nabla z; \quad \mathbf{V} = \mathbf{k} \times \nabla \psi.$$

$$\zeta = g\nabla \cdot (1/f)\nabla z = (g/f)\nabla^2 z + \beta u/f$$

$$\mathbf{V} \cdot \nabla \alpha = -\frac{g}{f} \frac{\partial z}{\partial y} \frac{\partial \alpha}{\partial x} + \frac{g}{f} \frac{\partial z}{\partial x} \frac{\partial \alpha}{\partial y} = -\frac{g}{f} J(\alpha, z).$$

$$\frac{\partial}{\partial t}(\nabla^2 z) = J\left(\frac{g}{f}\nabla^2 z + f, z\right)$$

The barotropic vorticity equation



# Solution method

$$\frac{\partial \zeta}{\partial t} = \mathbf{J}(\psi, \zeta + f)$$



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1. **Compute the Jacobian**
2. **Step forward (Leapfrog scheme)**
3. **Solve Poisson equation  $\nabla^2 \psi = \zeta$  (FT)**
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  - ▶ **Gridstep:**  $\Delta x = 750$  km (at North Pole)
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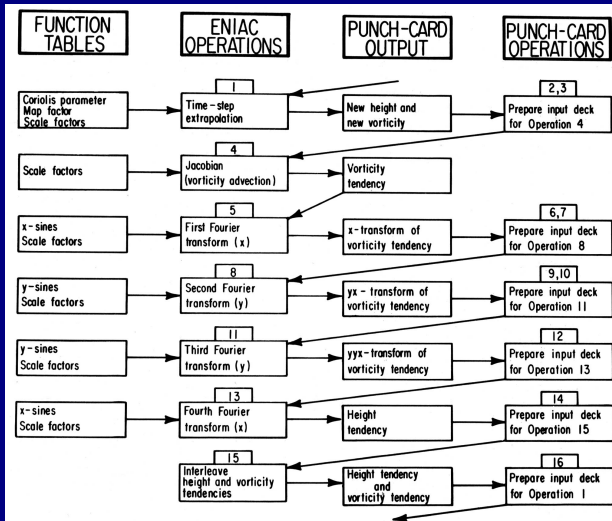
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Each forecast involved **punching about 25,000 cards**.  
Most of the time was spent handling card-decks.



# The ENIAC Algorithm: Flow-chart

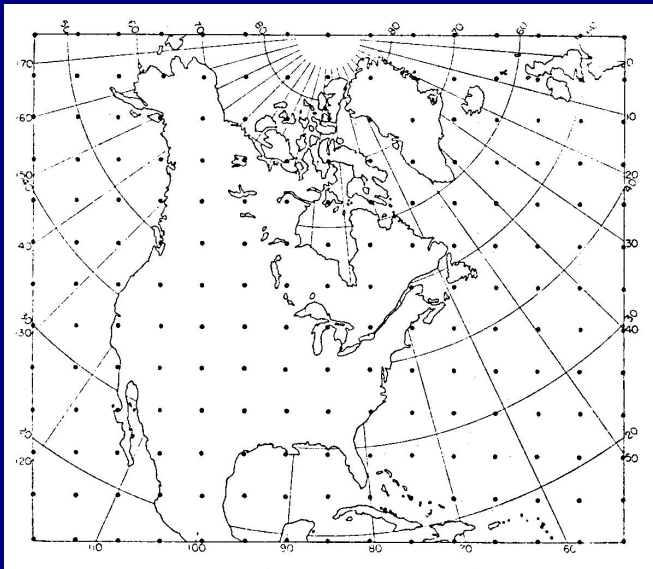


G. W. Platzman: *The ENIAC Computations of 1950 — Gateway to Numerical Weather Prediction* (BAMS, April, 1979).

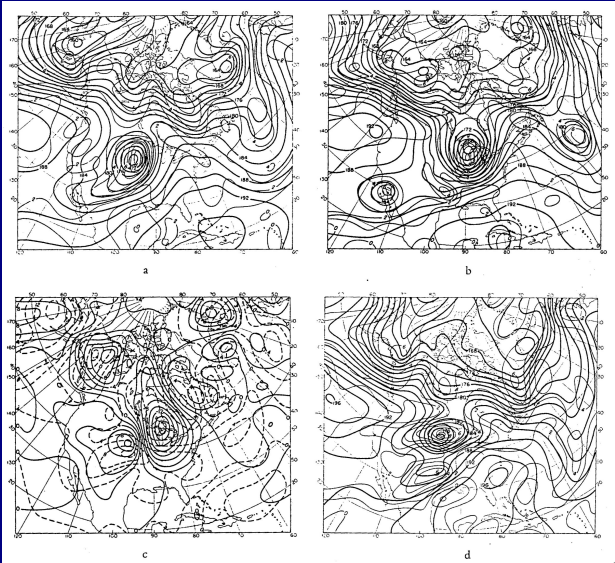




# Computational grid for the integrations



# ENIAC Forecast for Jan 5, 1949



# Key people in the ENIAC endeavour



# Richardson's reaction

- ▶ **“Allow me to congratulate you and your collaborators on the remarkable progress which has been made in Princeton.**



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- ▶ **“This is ... an enormous scientific advance on the single ... result in which Richardson (1922) ended.”**



# NWP Operations

**The Joint Numerical Weather Prediction Unit was established on July 1, 1954:**

- ▶ **Air Weather Service of US Air Force**
- ▶ **The US Weather Bureau**
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**Operational numerical weather forecasting began in May 1955, using a 3-level quasi-geostrophic model.**



# Outline

Prehistory

1890–1920

ENIAC

**Recreating the ENIAC Forecast**

PHONIAC



# Recreating the ENIAC Forecasts

The ENIAC integrations have been repeated using:

- ▶ A **MATLAB** program to solve the BVE
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The matlab code is available on the website  
<http://maths.ucd.ie/~plynch/eniac>



# THE ENIAC FORECASTS

A Re-creation

BY PETER LYNCH

NCEP-NCAR reanalyses help show that four historic forecasts made in 1950 with a pioneering electronic computer all had some predictive skill and, with a minor modification, might have been still better.



# NCEP/NCAR Reanalysis

The initial dates for the four forecasts were:

- ▶ January 5, 1949
- ▶ January 30, 1949
- ▶ January 31, 1949
- ▶ February 13, 1949



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When a reconstruction was first conceived, a laborious **digitization of hand-drawn charts** appeared necessary.



# The NCEP/NCAR 40-Year Reanalysis Project



E. Kalnay,\* M. Kanamitsu,\* R. Kistler,\* W. Collins,\* D. Deaven,\* L. Gandin,\*  
M. Iredell,\* S. Saha,\* G. White,\* J. Woollen,\* Y. Zhu,\* M. Chelliah,+ W. Ebisuzaki,+  
W. Higgins,+ J. Janowiak,+ K. C. Mo,+ C. Ropelewski,+ J. Wang,+  
A. Leetmaa,\* R. Reynolds,\* Roy Jenne,\* and Dennis Joseph#

Bulletin of the American Meteorological Society, March, 1996





# The NCEP–NCAR 50-Year Reanalysis: Monthly Means CD-ROM and Documentation



Robert Kistler,\* Eugenia Kalnay,+ William Collins,\* Suranjana Saha,\* Glenn White,\*  
John Woollen,\* Muthuvel Chelliah,# Wesley Ebisuzaki,# Masao Kanamitsu,#  
Vernon Kousky,# Huug van den Dool,# Roy Jenne,@ and Michael Fiorino&

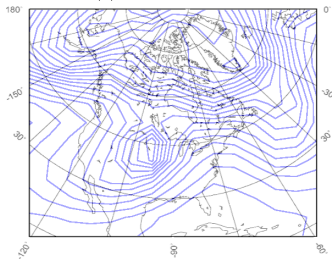
*Editor's note:* This article is accompanied by a CD-ROM that contains the complete documentation of the NCEP–NCAR Reanalysis and all of the data analyses and forecasts. It is provided to members through the sponsorship of SAIC and GSC.

Bulletin of the American Meteorological Society, February, 2001

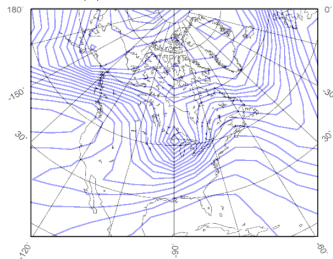


# Recreation of the Forecast

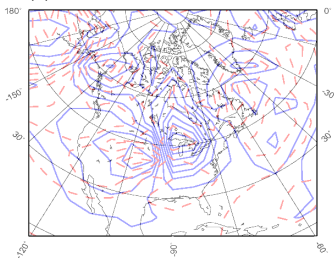
(A) INITIAL ANALYSIS



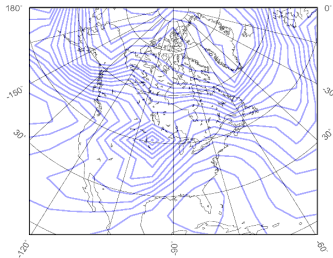
(B) VERIFYING ANALYSIS



(C) ANALYSED & FORECAST CHANGES



(D) FORECAST HEIGHT



Case	Mean error		RMS error		S1 Score	
	FCST.	PERS.	FCST.	PERS.	FCST.	PERS.
1	56.4	<b>-9.2</b>	113.4	<b>94.6</b>	<b>61.0</b>	62.2
2	31.1	<b>6.3</b>	<b>99.2</b>	114.6	<b>45.6</b>	62.9
3	-35.2	<b>20.4</b>	92.7	<b>89.2</b>	<b>46.4</b>	58.4
4	39.4	<b>1.1</b>	81.9	<b>80.7</b>	<b>39.5</b>	50.1

Mean error (bias), RMS error and S1 scores



Charney et al used the equation in the height form

$$\frac{\partial}{\partial t}(\nabla^2 z) = J \left( \frac{g}{f} \nabla^2 z + f, z \right)$$

They could have used the streamfunction form

$$\frac{\partial}{\partial t}(\nabla^2 \psi) = J (\nabla^2 \psi + f, \psi)$$

They would then not have to have ignored the beta-term



Case	Mean error		RMS error		SI Score	
	$z$ -EQN	$\psi$ -EQN	$z$ -EQN	$\psi$ -EQN	$z$ -EQN	$\psi$ -EQN
1	56.4	<b>44.4</b>	113.4	<b>106.7</b>	<b>61.0</b>	61.4
2	31.1	<b>23.2</b>	99.2	<b>88.6</b>	45.6	<b>44.1</b>
3	<b>-35.2</b>	-39.6	92.7	<b>88.2</b>	46.4	<b>45.4</b>
4	39.4	<b>19.9</b>	81.9	<b>72.1</b>	39.5	<b>36.9</b>

Scores for height equation and streamfunction equation



# Computing Time for ENIAC Runs

- ▶ George Platzman, during his *Starr Lecture*, (1979) re-ran an ENIAC forecast
- ▶ The algorithm was coded on an IBM 5110, a desk-top machine
- ▶ The program execution was completed during the lecture (**about one hour**)



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- ▶ **The program execution was completed during the lecture (**about one hour**)**
- ▶ **The program ENIAC.M was run on a Sony Vaio (model VGN-TX2XP)**
- ▶ **The main loop of the 24-hour forecast ran in **about 30 ms.****



# Outline

Prehistory

1890–1920

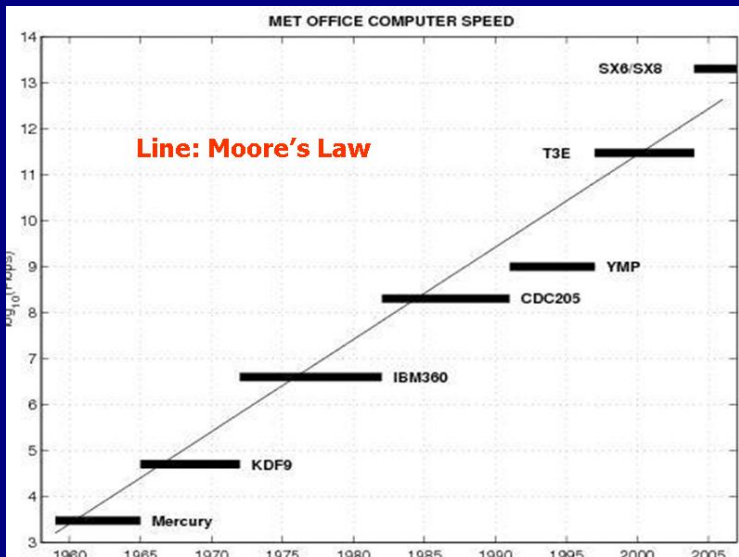
ENIAC

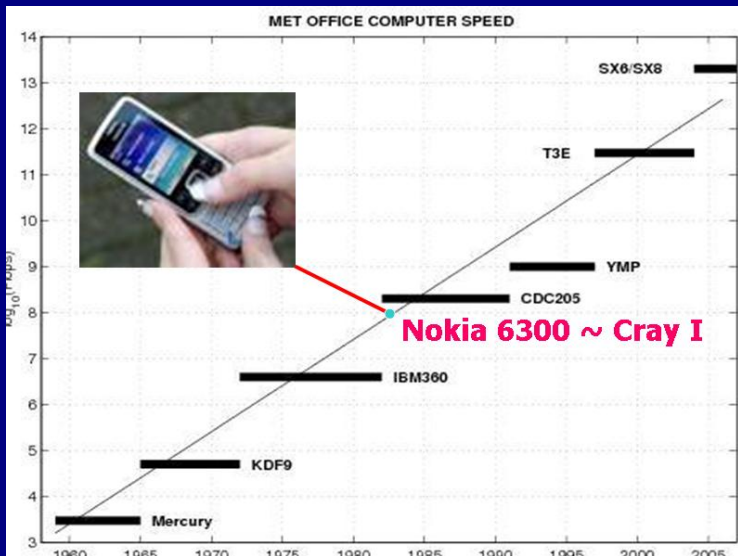
Recreating the ENIAC Forecast

**PHONIAC**









# Forecasts by PHONIAC

*Peter Lynch & Owen Lynch*



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**A modern hand-held mobile phone has far greater power than the ENIAC had.**

**We therefore decided to repeat the ENIAC integrations using a programmable mobile phone.**



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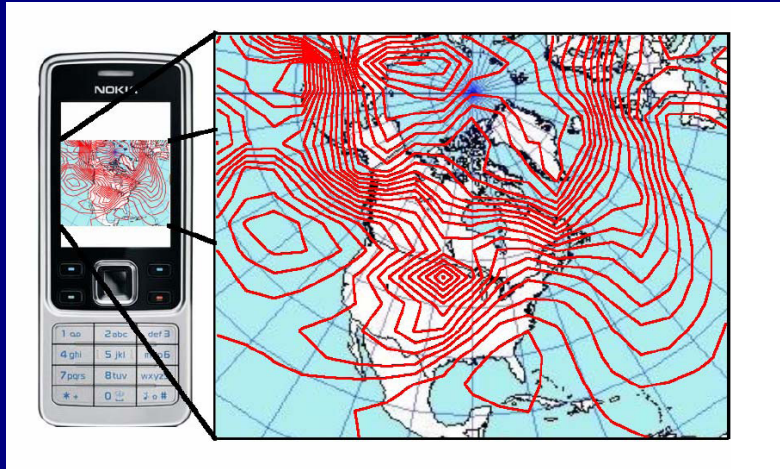
**We therefore decided to repeat the ENIAC integrations using a programmable mobile phone.**

**We converted the program ENIAC.M to PHONIAC.JAR, a J2ME application, and implemented it on a mobile phone.**

**This technology has great potential for generation and delivery of operational weather forecast products.**



# PHONIAAC: Portable Hand Operated Numerical Integrator and Computer



## Forecasts by PHONIAC

Weather – November 2008, Vol. 63, No. 11

### Peter Lynch<sup>1</sup> and Owen Lynch<sup>2</sup>

<sup>1</sup>University College Dublin, Meteorology  
and Climate Centre, Dublin

<sup>2</sup>Dublin Software Laboratory, IBM Ireland

The first computer weather forecasts were made in 1950, using the ENIAC (Electronic Numerical Integrator and Computer). The ENIAC forecasts led to operational numerical weather prediction within five years, and paved the way for the remarkable advances in weather prediction and climate modelling that have been made over the past half century. The basis for the forecasts was the barotropic vorticity equation (BVE). In the present study, we describe the solution of the BVE on a mobile phone (cell-phone), and repeat one of the ENIAC forecasts. We speculate on the possible applications of mobile phones for micro-scale numerical weather prediction.

### The ENIAC Integrations

and John von Neumann (1950; cited below as CFvN). The story of this work was recounted by George Platzman in his Victor P. Starr Memorial Lecture (Platzman, 1979). The atmosphere was treated as a single layer, represented by conditions at the 500 hPa level, modelled by the BVE. This equation, expressing the conservation of absolute vorticity following the flow, gives the rate of change of the Laplacian of height in terms of the advection. The tendency of the height field is obtained by solving a Poisson equation with homogeneous boundary conditions. The height field may then be advanced to the next time level. With a one hour time-step, this cycle is repeated 24 times for a one-day forecast.

The initial data for the forecasts were prepared manually from standard operational 500 hPa analysis charts of the U.S. Weather Bureau, discretised to a grid of 19 by 16 points, with grid interval of 736 km. Centred spatial finite differences and a leapfrog time-scheme were used. The boundary conditions for height were held constant throughout each 24-hour integration. The forecast starting at 0300 UTC, January 5, 1949 is shown in

vorticity. The forecast height and vorticity are shown in the right panel. The feature of primary interest was an intense depression over the United States. This deepened, moving NE to the 90°W meridian in 24 hours. A discussion of this forecast, which underestimated the development of the depression, may be found in CFvN and in Lynch (2008).

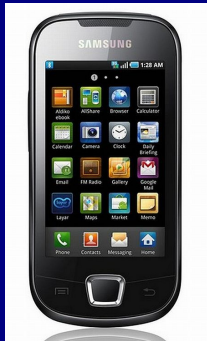
### Dramatic growth in computing power

The oft-cited paper in *Tellus* (CFvN) gives a complete account of the computational algorithm and discusses four forecast cases. The ENIAC, which had been completed in 1945, was the first programmable electronic digital computer ever built. It was a gigantic machine, with 18,000 thermionic valves, filling a large room and consuming 140 kW of power. Input and output was by means of punch-cards. McCartney (1999) provides an absorbing account of the origins, design, development and destiny of ENIAC.

Advances in computer technology over the past half-century have been spectacular. The increase in computing power is encap-

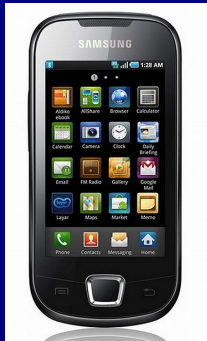


# A Challenge to you all ...





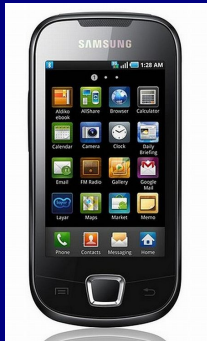
# A Challenge to you all ...



Run an NWP model — GFS or IFS — on a Smart Phone



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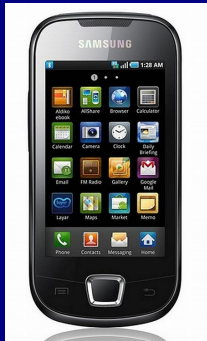


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Run a system to acquire and assimilate observations



# A Challenge to you all ...



**Run an NWP model — GFS or IFS — on a Smart Phone**

**Run a system to acquire and assimilate observations**

**There are many more possibilities for these devices.**



**Thank you**

